

Hannah Stanley CRD# 6636772

New Wave Wealth Advisors LLC IARD# 323414

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hannah.stanley@newwavewealthadvisors.com www.newwavewealthadvisors.com Form ADV Part 2B Firm Brochure Supplement January 23, 2024

This Brochure Supplement provides information for Hannah Stanley that supplements the New Wave Wealth Advisors LLC brochure. You should have received a copy of that brochure. Please contact us at (479) 877-2525 or

hadvisors.com if you did not receive NWWA's Brochure or if you have any questions about the contents of this supplement.

Additional information about Hannah Stanley is also available on the SEC's website at www.adviserinfo.sec.gov.

#### ITEM 2

# **Educational Background and Business Experience**

Hannah Stanley, born in 1986, graduated *Cum Laude* from the University of Arkansas in December 2009 with a Bachelor of Science in Biochemistry and a Minor in Spanish and graduated from the University of Colorado Denver in December 2014 with a Master of Business Administration in Change Management. Hannah Stanley's employment information is listed below.

Principal – Senior Vice President New Wave Wealth Advisors

April 2019 - Present

Registered Representative

Purshe Kaplan Sterling Investments

December 2021 - December 2023

 $Investment\,Advisor\,Representative$ 

Sowell Management

April 2019 – October 2022

Registered Representative

M.S. Howells & Co.

September 2019 – December 2021

Client Advisor – Vice President Arvest Wealth Management

January 2018 - April 2019

Private Banking Advisor – Vice President Arvest Bank

July 2015 – December 2017

#### ITEM 3

## **Disciplinary Information**

Hannah Stanley has not been and/or is presently not involved in any disciplinary, legal, or regulatory events that would be material to a client's evaluation of her or of NWWA.

### ITEM 4

### **Other Business Activities**

Hannah Stanley is also an insurance agent licensed to sell insurance products. Hannah Stanley spends 5% of her time in this role. A conflict of interest exists because these services pay a commission, which conflicts with the IAR's fiduciary duties. NWWA does not require its IARs to encourage clients to implement investment advice through our insurance product recommendations. Clients have the right to implement insurance product recommendations through the insurance agency and agent of their choice. We require that all IARs disclose this conflict of interest when such recommendations are made. We also require IARs to disclose that the client has the right to purchase recommended products from individuals not affiliated with us.

Hannah Stanley is 50% owner of New Wave Wave Ventures, LLC, real estate firm. She started this activity in 2020 and spends 2 hours a month on this activity. Altogether, the income Ms. Stanley earns from these activities represents more than 10% of her total income.

## Additional Compensation

Hannah Stanley does not receive any additional compensation.

### ITEM 6

# **Supervision**

Hannah Stanley, Principal and Chief Compliance Officer of NWWA, is responsible for supervising the investment advisory activities of the investment adviser representatives. Hannah Stanley monitors and reviews all forms of written communications that the investment adviser representatives provide to clients. Hannah Stanley can be contacted via telephone at (479) 877-2525 and via email at hannah.stanley@newwavewealthadvisors.com.

### ITEM 7

# **Requirements for State-Registered Advisers**

- A. Hannah Stanley has not been involved in an award or found liable in any arbitration claim or in any civil, self-regulatory organization, or administrative proceedings.
- B. Hannah Stanley has not been the subject of a bankruptcy petition.